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THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Murphy

Application No.:

10/813,904

Group Art Unit:

3743

Filed:

March 31, 2004

Examiner:

Not Assigned

PATENT APPLICATION DOCKET NO.: 3518.1023-000

Confirmation No.:

5037

Title:

HEAD-TO-HEAD CONNECTOR SPINAL FIXATION SYSTEM

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(Filed after payment of issue fee)

Sir:			
This I	nformation Disclosure Statement is submitted: under 37 CFR 1.129(a), or (First/Second submission after Final Rejection)		
[x]	under 37 CFR 1.97(b), or (Within any one of the following time periods: three months of filing national application (other than a CPA) or date of entry of the national stage in an international application; or before the mailing date of a first office action on the merits in a non-provisional application, including a CPA, or a Request for Continued Examination).		
[]	under 37 CFR 1.97(c) together with either: [] a Statement under 37 CFR 1.97(e), as checked below, or [] a \$180.00 fee under 37 CFR 1.17(p), or (After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)		
[]	under 37 CFR 1.97(d) together with: [] a Statement under 37 CFR 1.97(e), as checked below, and [] a \$180.00 fee under 37 CFR 1.17(p), or (Filed after final action or notice of allowance, whichever occurs first, but on or before payment of the issue fee)		
[]	under 37 CFR 1.97(i): Applicant requests that the IDS and cited reference(s) be placed in the application filewrapper.		

Statem	ent Une	<u>der 37 C</u>	<u>FR 1.97(e)</u>
[]	Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or		
[]	knowle in the	unication edge of the information of the indicate of the indic	ormation contained in this Information Disclosure Statement was cited in a from a foreign patent office in a counterpart foreign application, and, to the the undersigned, after making reasonable inquiry, no item of information contained in disclosure statement was known to any individual designated in 37 CFR man three months prior to the filing of this Information Disclosure Statement.
Statem	ent Un	<u>der 37 C</u>	FR 1.704(d) (Patent Term Adjustment) Applies to original applications (other than design) filed on or after May 29, 2006
[]	commitwee no	unication ot receive	nformation contained in the Information Disclosure Statement was cited in a n from a foreign patent office in a counterpart application and this communication ed by any individual designated in § 1.56(c) more than thirty days prior to the formation Disclosure Statement.
		i	
[X]	Enclos	sed herev	with is form PTO-1449:
	[]	Copies	of the cited references are enclosed.
		[X]	Copies of issued U.S. patents and published U.S. applications are not required and are not being provided.
	[]	Applica	of the cited references are enclosed except those entered in prior application, U.S ation No. [], to which priority under 35 U.S.C. 120 is claimed. [The earlier tion contains copies of the cited references.]
	[]	The list	ted references were cited in the enclosed International Search Report in a part foreign application.
	[]	The "co	oncise explanation" requirement (non-English references) for reference(s) [37 CFR 1.98(a)(3) is satisfied by:
		[]	the explanation provided on the attached sheet.
		[]	the explanation provided in the Specification.
		[]	submission of the enclosed International Search Report.
		[]	submission of the enclosed English-language version of a foreign Search Report and/or foreign Office Action.
		[]	the enclosed English language abstract.

[]	Applio	cant requests that the following n	on-published pending applic	cations be considere	d:
Examiner's Initials					
		U.S. Patent Application No. [], by [inventor(s)], filed [], Docket No.: []
		U.S. Patent Application No. [], by [inventor(s)], filed [], Docket No.: []
		U.S. Patent Application No. [], by [inventor(s)], filed [], Docket No.: []
		Examiner	Date	_	
	[]	A copy of each above-cited apparant application filed on or after Image File Wrapper (IFW) systems	r June 30, 2003, which has b	een scanned into the	ed, except e PTO's
	[]	A copy of each above-cited app those entered in prior application 35 U.S.C. 120 is claimed.	plication, including the curre on, U.S. Application No. [nt claims, is enclose], to which prio	ed, except rity under
The E	xamine nces we	r is requested to return a copy of re considered with the next office	the above list of pending ap	plications indicating	g which
It is re	questec	I that the information disclosed h	nerein be made of record in t	his application.	
Metho	od of pa	yment:			
[]	A che accom	ck for the fee noted above is enc npanying Reply. A copy of this S	losed, or the fee has been inc Statement is enclosed.	cluded in the check	with the
[]		Please charge Deposit Account 08-0380 in the amount of \$[]. A copy of this Statement is enclosed.			atement is
[X]	Please	e charge any deficiency in fees ar	nd credit any overpayment to	Deposit Account 0	8-0380.
		I	Respectfully submitted,		
		1	HAMILTON, BROOK, SMI	TH & REYNOLDS	, P.C.
		1	By Mesander 9 Alexander Akhiezer	Melizer A	16/

Registration No.: 54,617 Telephone: (978) 341-0036 Facsimile: (978) 341-0136

Concord, MA 01742-9133 Dated: /2/28/04

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REPRODUCED	ATTORNEY DOCKET NO. 3518.1023-000	APPLICATION NO. 10/813,904		
STATEMENT IN AN APPLICATION	FIRST NAMED INVENTOR FILING DATE Iain H. Kalfas March 31,			2004
(Use several sheets if necessary)	EXAMINER Not Assigned	CONFIRMATE 5037	ION NO.	GROUP 3743
	PPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION December 23, 2004	PPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION December 23, 2004 (Use several sheets if necessary) ATTORNAL DECEMBER 3518.1023-000 FIRST NAMED INVENTOR Iain H. Kalfas EXAMINER Not Assigned	PPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION December 23, 2004 (Use several sheets if necessary) ATTERIOR 3518.1023-000 FIRST NAMED INVENTOR Iain H. Kalfas EXAMINER Not Assigned CONFIRMATION Not Assigned	PPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION December 23, 2004 EXAMINER Not Assigned Statement 10/813,904 10/

		U.S. P	UBLISHED APPLICATIONS	
EXAM- INER INI- TIAL	REF. NO.	DOCUMENT NUMBER Number-Kind Code (if known)	PUBLICATION DATE MM-DD-YYYY	NAME OF APPLICANT OF CITED DOCUMENT
	AK	US 2002/0052603 A1	05-02-2002	Nichols, et al.
	AA2	US 2002/0138077 A1	09-26-2002	Ferree
	AB2	US 2003/0018334 A1	01-23-2003	Richelsoph, et al.
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		U.(S. PATENT DOCUMENTS	
EXAM- INER INI- TIAL	REF. NO.	DOCUMENT NUMBER Number-Kind Code (if known)	ISSUE DATE / MM-DD-YYYY	NAME OF PATENTEE OF CITED DOCUMENT
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EXAMINER	DATE CONSIDERED
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